OLD MUTUAL WEALTH

CLIENT LINKING REQUEST

THIS FORM:

is a request to link investments for the purposes of calculating Old Mutual Wealth's Product/Service Charge***

NOTE

The rates for the Old Mutual Wealth Product/Service Charge*** reduce in stages as the total value of an investor's assets increases. When investments are linked, we use the combined assets held with Old Mutual Wealth's platform by the linked investors to calculate the percentage rates for their Old Mutual Wealth Product/Service Charge.

We can link investments

- held by a client's spouse/civil partner*
- where the client or client's spouse/civil partner* is classed as the 'underlying investor' (see the list of eligible investors below).

***The 'Service Charge' and the 'Product Charge' were previously known as the 'Platform Charge'.

- The Product/Service Charge only applies to investments held on Charge Basis 3; but investments held on Charge Basis 1 and 2 can be linked to reduce the Product/Service Charge rate.
- Linking investors has no effect on the Investor Charge deducted from Charge Basis 1 and 2 investments.
- Linking does not convert investments to Charge Basis 3.

THE FOLLOWING INVESTORS ARE ELIGIBLE FOR CLIENT LINKING:

- Spouse/Civil partner* of the client named in Section 1 below
- Trust – where the client or the client's spouse/civil partner* is the settlor of the trust (including cases where he/she is investing for children/grandchildren)
- SIPP investing in the Collective Investment Account (CIA) – where the client or the client's spouse/civil partner* is the SIPP member
- A CIA held within an offshore bond, where the client and/or the client's spouse/civil partner* are the bond holders or settlors of bonds held in trust.

*as defined by the Civil Partnership Act 2004

We may ask for evidence to support eligibility criteria and carry out any related checks deemed necessary for this purpose.

Complete Section 1, then Sections 2 and 3 as required.

1 CLIENT DETAILS TO BE COMPLETED IN ALL CASES

Client's name

Client reference number**

Date of birth *(dd mm yyyy)*

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2 CLIENT'S SPOUSE/CIVIL PARTNER'S DETAILS
TO BE COMPLETED IF A LINK IS REQUIRED TO THE CLIENT NAMED IN SECTION 1

Client's spouse/civil partner's name

Client reference number**

Date of birth *(dd mm yyyy)*

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3 DETAILS OF OTHER INVESTMENTS TO BE LINKED TO THE CLIENT
TO BE COMPLETED IF A LINK IS REQUIRED TO THE CLIENT NAMED IN SECTION 1

Investor name (eg the SIPP, trust etc as applicable)	Client reference number**

** Client reference numbers are shown on correspondence we issue in respect of investments; your financial adviser should be able to give you the details if you are not sure. For new investors who do not yet have a Client Reference Number please write 'new'.

4 IMPORTANT INFORMATION

If Old Mutual Wealth accepts and carries out the Client Linking request, we:

- will link the client named in Section 1 with the investors whose client reference numbers are shown in Sections 2 and 3, provided assets are held under the client reference numbers given.
- will then use the combined value of assets held by the client and his/her linked investors to calculate the percentage rates for the Old Mutual Wealth Product/Service Charge on any investments they hold on Charge Basis 3
- will update the Adviser Extranet so that financial advisers can check that the Client Linking request has been carried out
- will not release information about linked parties to investors with whom they are linked
- cannot guarantee that the request will take effect for the next Product/Service Charge date if it is received less than 10 days before that date.

Old Mutual Wealth reserves the right:

- to query or reject the Client Linking request
- to ask for evidence to support eligibility criteria and to carry out any related checks deemed necessary for this purpose
- to remove the link between investors at any time.

5 CONTACT DETAILS

Please give details of whom we should contact if we have any queries about this request.

Name (print)	
Company name (if applicable)	
Telephone number/ E-mail address	

NOTE

- If no assets are held under a client reference number given, it cannot be linked.
- If there are still no assets under that client reference number after three months, we reserve the right to disregard the instruction.

Reset Form

www.oldmutualwealth.co.uk

Calls may be monitored and recorded for training purposes and to avoid misunderstandings.

Old Mutual Wealth is the trading name of Old Mutual Wealth Limited which provides an Individual Savings Account (ISA) and Collective Investment Account (CIA) and Old Mutual Wealth Life & Pensions Limited which provides a Collective Retirement Account (CRA) and Collective Investment Bond (CIB).

Old Mutual Wealth Limited and Old Mutual Wealth Life & Pensions Limited are registered in England and Wales under numbers 1680071 and 4163431 respectively. Registered Office at Old Mutual House, Portland Terrace, Southampton SO14 7EJ, United Kingdom. Old Mutual Wealth Limited is authorised and regulated by the Financial Conduct Authority. Old Mutual Wealth Life & Pensions Limited is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority. Their Financial Services register numbers are 165359 and 207977 respectively. VAT number 386 1301 59.

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SK9095/214-0402R/March 2014